

LAW OFFICES OF  
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PATENTS, TRADEMARKS, COPYRIGHTS, AND INTELLECTUAL PROPERTY LAW  
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# 41 Reg for  
Refund

08-18-02

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\*PATENT ENGINEER (NON-ATTORNEY)

February 13, 2002

**VIA FACSIMILE**

To: Mai Facsimile No.: (703) 308-6778  
Deposit Accounts  
U.S.P.T.O.

From: Sean M. McGinn Facsimile No.: (703) 761-2375

Re: December 31, 2001 Deposit Account Statement  
U.S. Patent Application Serial No. 09/964,863  
Our Ref: ORI.045

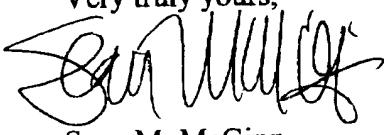
Dear Mai:

A charge totaling the amount of \$34.00 was deducted from Deposit Account 50-0481 on December 26, 2001. However, a new application was filed on September 28, 2001 and the PTO charged our Deposit Account \$740.00 instead of \$710.00. Rates increased on October 1, 2001. Therefore, it appears that the \$34.00 was charged in error and should be refunded.

For your convenience, enclosed is a copy of the December 31, 2001, Monthly Statement of Deposit Account, the new application was filed on September 28, 2001. A copy of the date-stamped postcard is enclosed.

Thank you in advance for your consideration on this case.

Very truly yours,



Sean M. McGinn

SMM:yr  
Enclosure

6mm 1/2c  
OR 1.045

Hand-Delivered

New Application Post Card Filing Receipt and  
Request for Early Notification of Serial Number

Attorney's Docket Number: NOKUSAI 026  Patent  Trademark

Applicant's Name: Tanaka, et al. Application Filing Date: 9/22/01  
Title: Temperature Controlling Method, Thermal  
Processing Apparatus, and Method of Manufacturing Semiconductor Device

50 Pages Specification, Claims and Abstract  Total Claims  Independent Claims

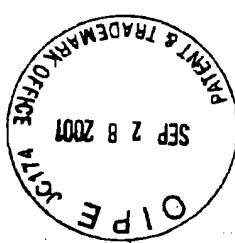
6 Sheets  (Figs. 1 - 12)  Informal Drawings  Priority Document(s)

Declaration/Power of Attorney

IDS  1449 Form w/        Documents  Assignment  Recordation Cover

Other \_\_\_\_\_

Fees Filed Herewith: \$         Check  Charge Deposit Account: \_\_\_\_\_



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:

Tanaka et al.

Serial No.: 09/964,863

Group Art Unit: 2812

Filing Date: September 28, 2001

Examiner: Unknown

For: TEMPERATURE CONTROLLING METHOD, THERMAL TREATING APPARATUS, AND METHOD OF MANUFACTURING SEMICONDUCTOR DEVICE

Assistant Commissioner of Patents  
Washington, D.C. 20231

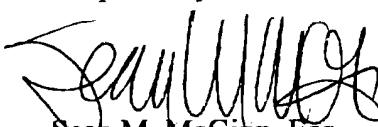
**ATTENTION: FINANCE DIVISION****REQUEST FOR REFUND**

Sir:

The undersigned respectfully requests a refund in the amount of \$34.00 for the above-identified patent application.

The above-referenced new application was filed on September 28, 2001 and the PTO charged our Deposit Account \$740.00 instead of \$710.00. Rates increased on October 1, 2001, therefore, the \$34.00 fee charged on the Monthly Statement of Deposit Account dated December 26, 2001 was charged in error. Therefore, Application respectfully requests that the \$34.00 fee be refunded. Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account number 50-0481.

Respectfully submitted,



Sean M. McGinn, Esq.  
Registration No. 34,386

Date: 2/13/02

McGinn & Gibb, PLLC  
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UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

**MONTHLY STATEMENT  
OF DEPOSIT ACCOUNT**

To replenish your Deposit Account, detach and return top portion with your check. Make check payable to Commissioner of Patents & Trademarks.

MCGINN & GIBB, PC  
SEAN M MCGINN  
1701 CLARENDON BLVD  
SUITE 100  
ARLINGTON VA 22209

SMT

FINA

Account No.
500481
Date
12-31-01
Page
1

PLEASE SEND REMITTANCES TO:  
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DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
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12	20	01	75	09964864	KOKUSAI081	105	30.00	784.00
12	26	01	213	DRI-045 - 09964863	KOKUSAI 076	105	34.00	750.00
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12	27	01	63	10025582	FJ-2001-033	102	40.00	685.00
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12	28	01	36	10025582	FJ-2001-033	102	80.00	615.00
12	31	01	238	SERVICE CHARGE		608	25.00	590.00

AN AMOUNT SUFFICIENT TO  
COVER ALL SERVICES REQUESTED  
MUST ALWAYS BE ON DEPOSIT.

OPENING BALANCE	TOTAL CHARGES	TOTAL CREDITS	CLOSING BALANCE
3598.00	3538.00	530.00	590.00